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|--|--|------------------------|--------------------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> |  | Application Number     | <b>09/976,475</b>              |
|  |  | Filing Date            | <b>October 12, 2001</b>        |
|  |  | First Named Inventor   | <b>Jeffrey C. Hawkins</b>      |
|  |  | Group Art Unit Number  | <b>2683</b>                    |
|  |  | Examiner Name          | <b>William George Trost IV</b> |
| Total Number of Pages in This Submission   |  | Attorney Docket Number | <b>24264-05940</b>             |

| ENCLOSURES (check all that apply)  |   |
|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Check Enclosed<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Response to Notice to File Missing Parts<br><input type="checkbox"/> Assignment & Recordation Cover Sheet<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Power of Attorney<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A<br><input type="checkbox"/> Copies of IDS Cited References<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Request for Correction of Recorded Assignment<br><input checked="" type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Letter to Chief Draftsperson<br><input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |
| REMARKS: *Page count does not include cited references.  |   |

| SIGNATURE OF ATTORNEY OR AGENT |                                   |        |                   |
|--------------------------------|-----------------------------------|--------|-------------------|
| Signature:                     |                                   |        |                   |
| Attorney/Reg. No.:             | Laura A. Majerus, Reg. No. 33,417 | Dated: | December 13, 2004 |

| CERTIFICATE OF MAILING   |                  |        |                   |
|--|------------------|--------|-------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                  |        |                   |
| Signature:   |                  |        |                   |
| Typed or Printed Name:   | Laura A. Majerus | Dated: | December 13, 2004 |
| Express Mail Mailing Number (optional):  |                  |        |                   |

|                                |                  |
|--------------------------------|------------------|
| <b>TOTAL AMOUNT OF PAYMENT</b> | <b>\$ 450.00</b> |
|--------------------------------|------------------|

**Complete if Known**

|                             |                                |
|-----------------------------|--------------------------------|
| <b>Application Number</b>   | <b>09/976,475</b>              |
| <b>Filing Date</b>          | <b>October 12, 2001</b>        |
| <b>First Named Inventor</b> | <b>Jeffrey C. Hawkins</b>      |
| <b>Examiner Name</b>        | <b>William George Trost IV</b> |
| <b>Art Unit</b>             | <b>2683</b>                    |
| <b>Attorney Docket No.</b>  | <b>24264-05940</b>             |

**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

|                        |         |
|------------------------|---------|
| Deposit Account Number | 19-2555 |
|------------------------|---------|

|                      |                    |
|----------------------|--------------------|
| Deposit Account Name | Fenwick & West LLP |
|----------------------|--------------------|

**The Commissioner is authorized to:** *(check all that apply)*

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

## 1. BASIC FILING FEE

[illegible]

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description | Fee Paid |
|-------------|-------------|-------------|-------------|-----------------|----------|
|-------------|-------------|-------------|-------------|-----------------|----------|

|                     |             |
|---------------------|-------------|
| <b>SUBTOTAL (1)</b> | <b>(\$)</b> |
|---------------------|-------------|

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 15 -20** =   | X              | =        |
| Independent Claims | 2 -3** =     | X              | =        |
| Multiple Dependent |              |                | =        |

| Large Entity |             | Small Entity |             | <u>Fee Description</u>                                    |
|--------------|-------------|--------------|-------------|---|
| <u>Fee</u>   | <u>Fee</u>  | <u>Fee</u>   | <u>Fee</u>  |   |
| <u>Code</u>  | <u>(\$)</u> | <u>Code</u>  | <u>(\$)</u> |   |
| 1202         | 50          | 2202         | 25          | Claims in excess of 20                                    |
| 1201         | 200         | 2201         | 100         | Independent claims in excess of 3                         |
| 1203         | 360         | 2203         | 180         | Multiple dependent claim, if not paid                     |
| 1204         | 200         | 2204         | 100         | **Reissue independent claims over original patent         |
| 1205         | 50          | 2205         | 25          | **Reissue claims in excess of 20 and over original patent |

|                     |             |
|---------------------|-------------|
| <b>SUBTOTAL (2)</b> | <b>(\$)</b> |
|---------------------|-------------|

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)


### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath or declaration                         |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 120      | 2251         | 60       | Extension for reply within first month                                     |          |
| 1252         | 450      | 2252         | 225      | Extension for reply within second month                                    | 450.00   |
| 1253         | 1020     | 2253         | 510      | Extension for reply within third month                                     |          |
| 1254         | 1,590    | 2254         | 795      | Extension for reply within fourth month                                    |          |
| 1255         | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                                     |          |
| 1401         | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402         | 500      | 2402         | 250      | Filing a brief in support of an appeal                                     |          |
| 1403         | 1000     | 2403         | 500      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 500      | 2452         | 250      | Petition to revive - unavoidable   |          |
| 1453         | 1,500    | 2453         | 750      | Petition to revive - unintentional   |          |
| 1501         | 1,400    | 2501         | 700      | Utility issue fee (or reissue)   |          |
| 1502         | 800      | 2502         | 400      | Design issue fee   |          |
| 1503         | 1100     | 2503         | 550      | Plant issue fee  |          |
| 1460         | —        | 1460         | —        | Petitions to the Director  |          |
| 1807         | 50       | 1807         | 50       | Processing fee for Provisional Applications                                |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

|                     |                  |
|---------------------|------------------|
| <b>SUBTOTAL (3)</b> | <b>\$ 450.00</b> |
|---------------------|------------------|

## SUBMITTED BY

|                   |   |                                      |        |                           |
|-------------------|---|--------------------------------------|--------|---------------------------|
| Name (Print/Type) | Laura A. Majerus  | Registration No.<br>(Attorney/Agent) | 33,417 | Telephone: (650) 335-7152 |
| Signature         |  |                                      |        | Date<br>December 13, 2004 |